



# SAFER BY MOLECULAR DESIGN APPROACH APPLIED TO CuO CASE STUDY

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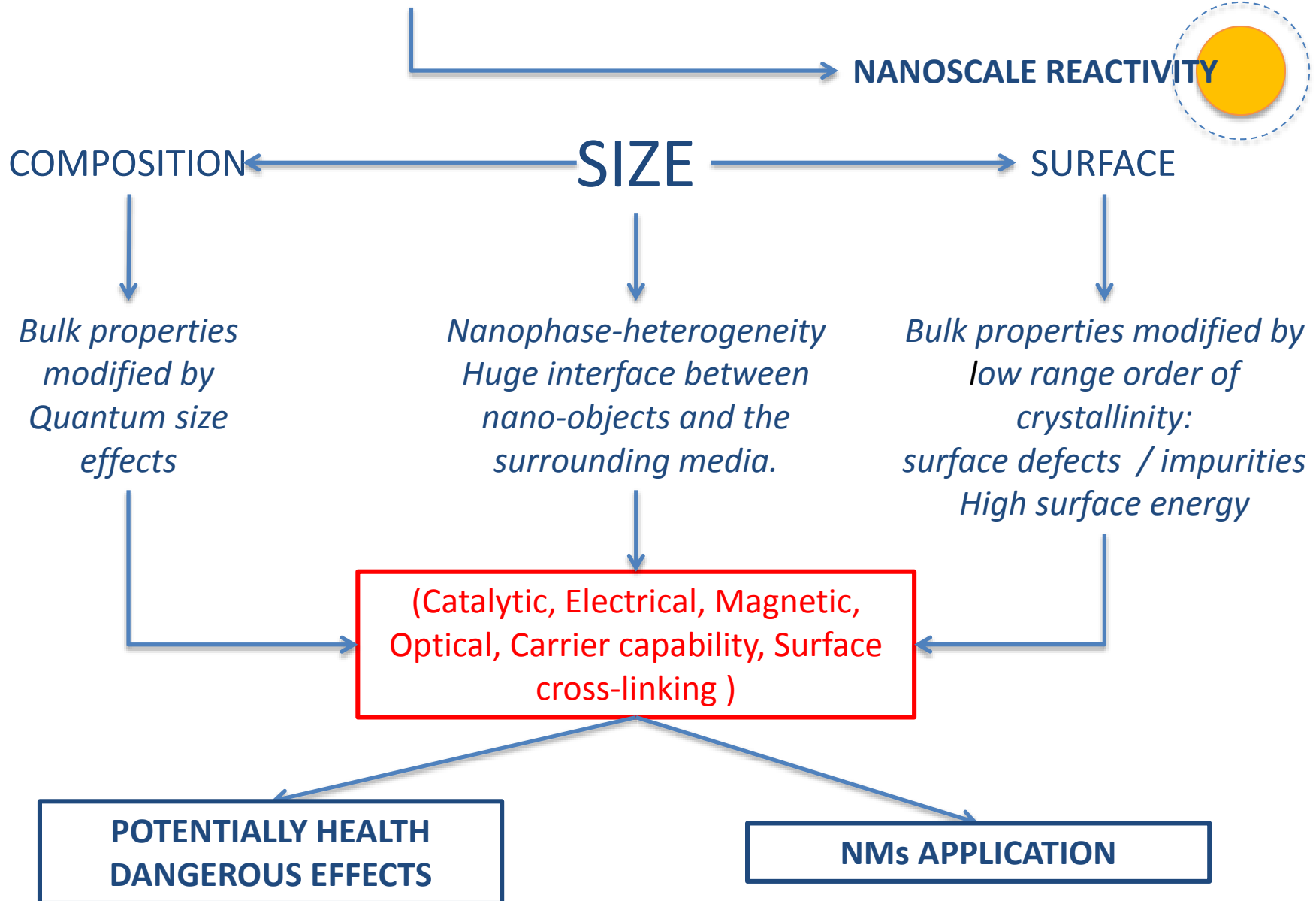
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- ❑ SAFETY ISSUES APPLIED TO NANOMATERIALS
- ❑ SAFETY BY DESIGN (SbyD) STRATEGIES: SANOWORK PROJECT
- ❑ SbyD STRATEGY APPLIED TO CuO CASE STUDY: SUN PROJECT

## SAFETY ISSUES APPLIED TO NANOMATERIALS.....



## DEFINITION

**ENGINEERING of NANOMANUFACTURING PROCESS or PRODUCT** with specific attention to design out risks rather than address them when they occur

### SAFETY BY PROCESS DESIGN

Analytical / automation tools focused on preventing the release of nanomaterial classified as "highly hazardous"

### SAFETY BY MOLECULAR DESIGN

Molecular / Structural design strategies focused on controlling risk determinant properties

**SYNTHETIC IDENTITY  
(BORN TO BE.....)**



*Intrinsic  
physicochemical  
properties  
(PCHEMS)*

**DESIGN CRITERIA**

**(ENVIRONMENTAL  
TRANSPORT, BIOKINETICS,  
DOSIMETRY)**

**EXPOSURE CRITERIA**

*Evolution of PCHEMS in Life-  
cycle and Testing Media;  
Exposure related PCHEMS*

LIFE CYCLE  TESTING



**SYSTEM IDENTITY**

**MODES of ACTION**



ACELLULAR

CELLULAR



**HAZARD CRITERIA**

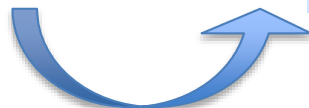
*PCHEMS triggering early effects*

*PRO-OXIDATIVE POTENTIAL,  
TRANSPORT and RELEASE of  
transition metal ions /  
impurities / toxicants by the  
surface.*

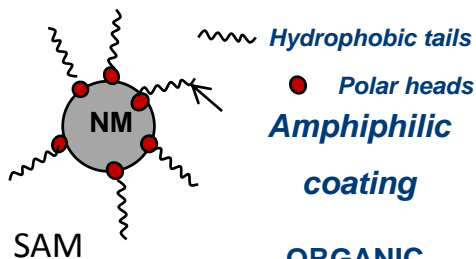
*BIOPHYSICAL INTERACTION with  
Extra, Intra and Surface  
CELLULAR components*



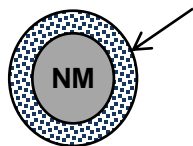
**IN VITRO / IN VIVO TOXICITY**



## SURFACE COATING



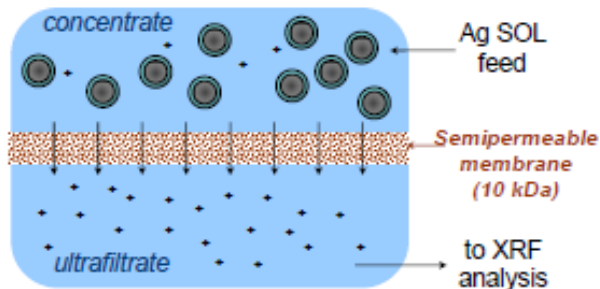
ORGANIC



Silica coating

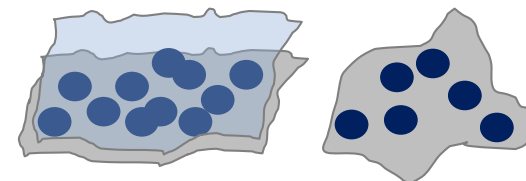
INORGANIC

## PURIFICATION



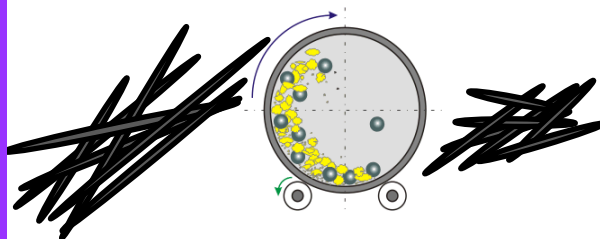
ULTRAFILTRATION TREATMENT

## NANO in MACRO



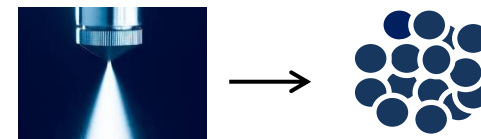
GEL COATING, IMPREGNATION ON POROUS INORGANIC STRUCTURE

## MILLING



WET MILLING OF NANOFIBERS

## NANO in MICRO



SPRAY-GRANULATION

**TO CONTROL PRO-OXIDATIVE POTENTIAL**

and further hazard mechanistic criteria

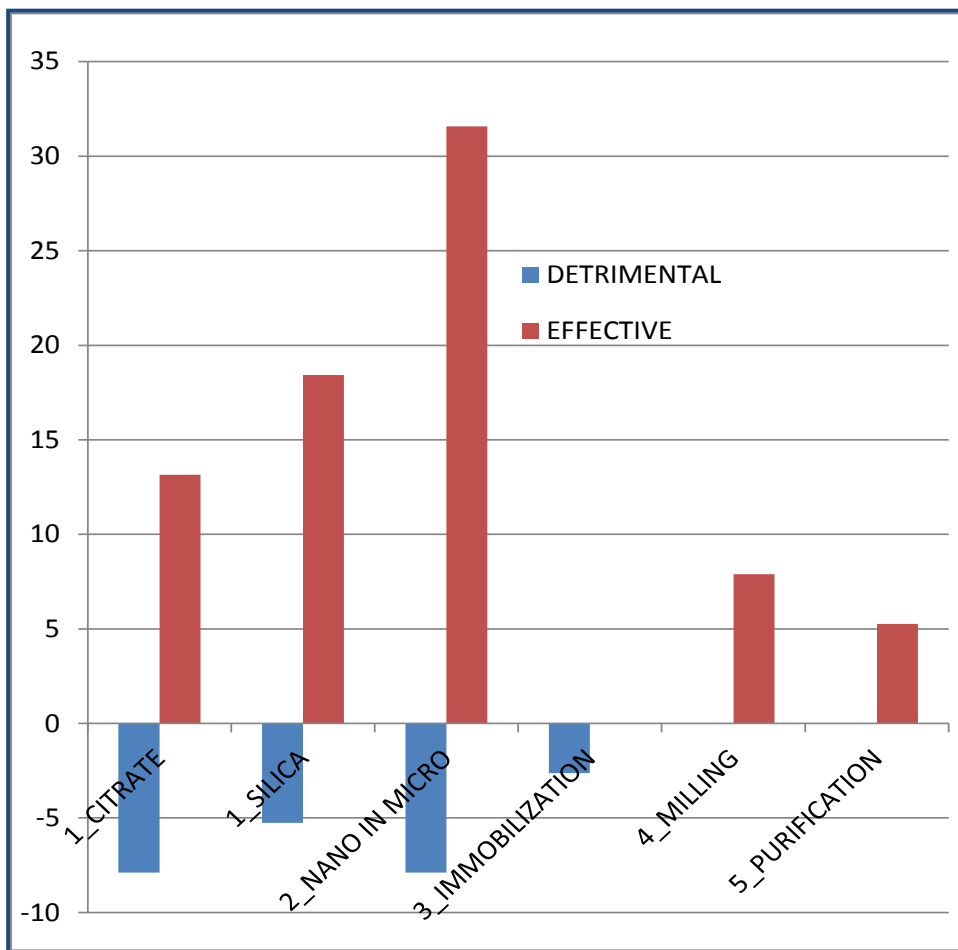
**TO CONTROL BIO-PHYSICAL INTERACTION**

**TO CONTROL EXPOSURE CRITERIA**

such as emission potential and To improve nano-product recovery and disposal

PRESERVE NANOSCALE REACTIVITY

SAFETY BY DESIGN STRATEGIES EVALUATION.....considering for each strategies the total amount of positive and detrimental effect due to their introduction, normalising for the total amount of experiments carried on



*Nano to Micro / Macro technologies (spray drying, spray-freeze granulation, forcing colloidal agglomeration, immobilization) seem to be the most promising strategies for the control of emission/exposure potential,*

*as well Silica Coating is for the control and harmonization of surface chemistry*



Sustainable Nanotechnologies Project

NMP4-LA-2013-604305  
From Oct 2013 to March 2017

**WP7:** Safe production,  
handling and disposal

**CuO NPs** are synthesized  
by sol-gel / pyrolysis by  
**Plasmachem**



*Formulation*  
**(BASF)**



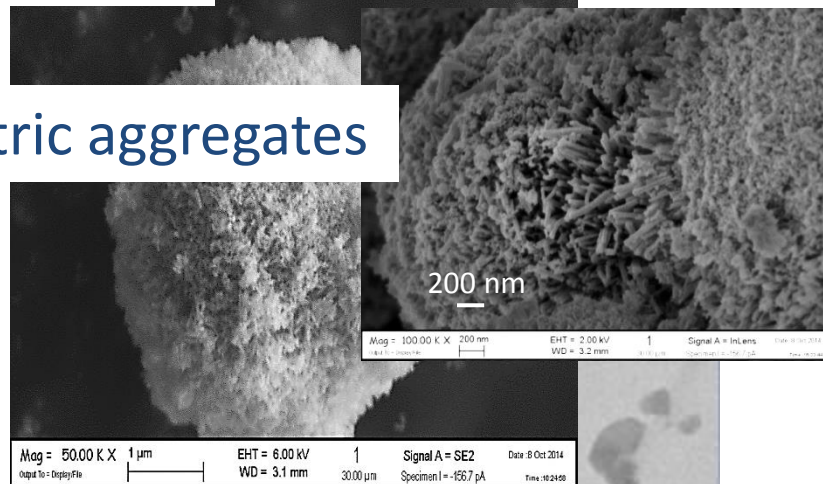
**Antibacterial** WOOD PAINTS





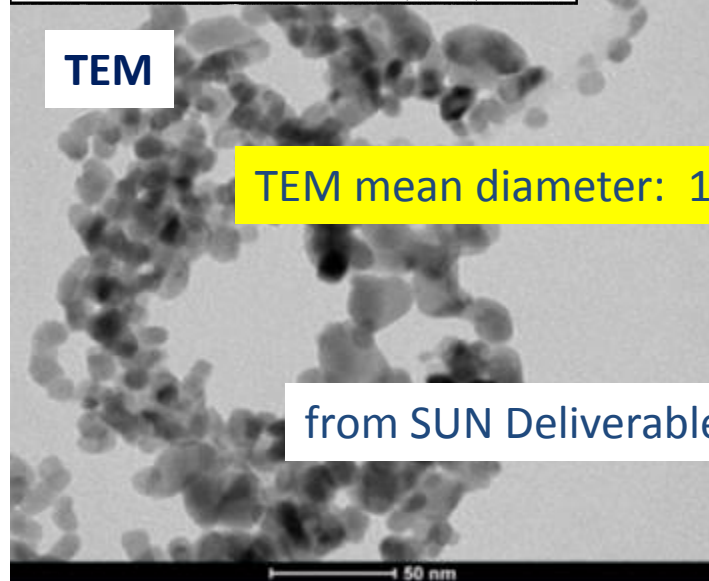
Micrometric aggregates

FE - SEM



Properties	Results
Crystallite size, nm	ca. 15
BET, m <sup>2</sup> /g	45 ± 5
Stabilizer	No organic stabilizer
Form	Dry powder
Colour	Black
Phase	Monoclinic

TEM



TEM mean diameter: 10nm

from SUN Deliverable 1.4

BET equivalent diameter:  $\cong 20$  nm  
 (dBET =  $6/SSA \cdot \rho$ )

## DESIGN STRATEGY

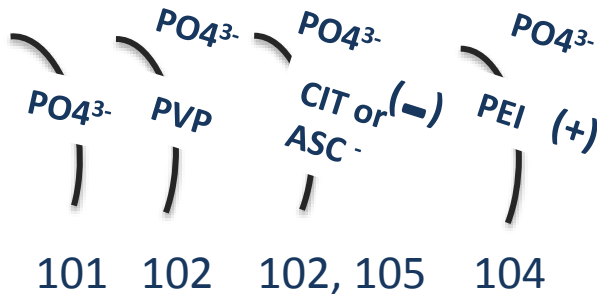
Preparation of pristine and modified samples in **buffer phosphate** ( $PO_4^{3-}$  0,05M)



Maximizing **nanofraction** and promoting adhesion of surface coating agents by **wet ball milling**



**Surface modification:** stock suspensions 10 g / L



## EXPOSURE IDENTITY

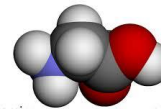
**aggregation**  
DLS size / ELS Zeta

DILUTION (100 mg/L) in:



+ **SALTS**  $Ca^{2+}$ ,  $Mg^{2+}$ , *oxoanions*

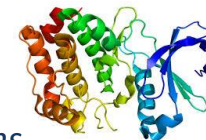
(Dulbecco's PBS  
AFW, AMW)



+ **AMINOACIDS** and **NUTRIENTS**  
(MEM and DMEM in vitro media)

*Amphiphilic moieties*

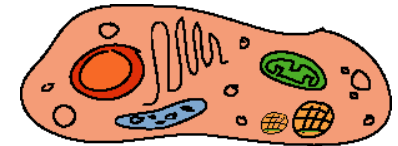
+ **SERUM** *Proteins*  
(COMPLETE in vitro media)



**HAZARD IDENTITY**  
(early, apical effects)

PCHEM property of early effects:  
Ion/solid phase ratio  
Ion speciation

IN VITRO TESTS



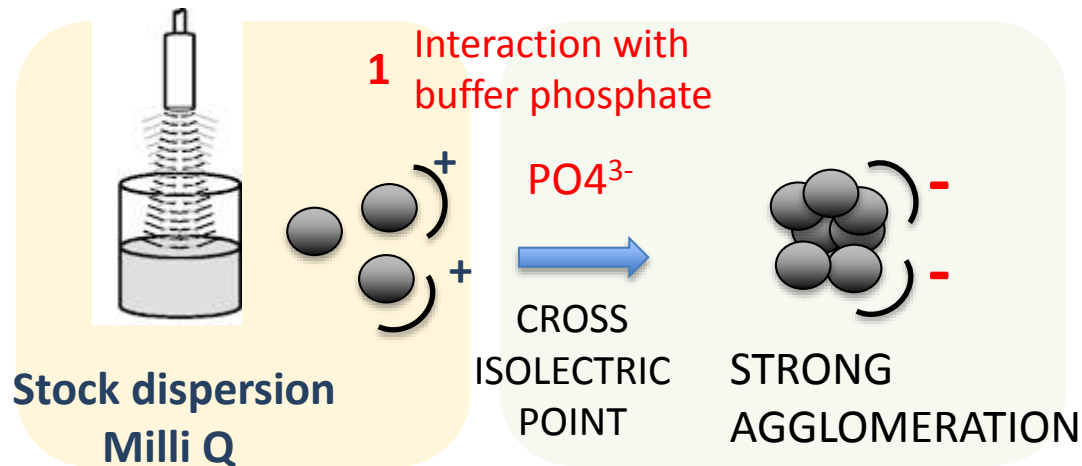
IN VIVO TESTS



**MAT. PERFORMANCES**



## Effect of $\text{PO}_4^{3-}$ buffer dispersing media



**Z pot:** + 34.9 mV

**pH :** 6.8

**Size :** 330 nm

**Z pot:** - 9 mV

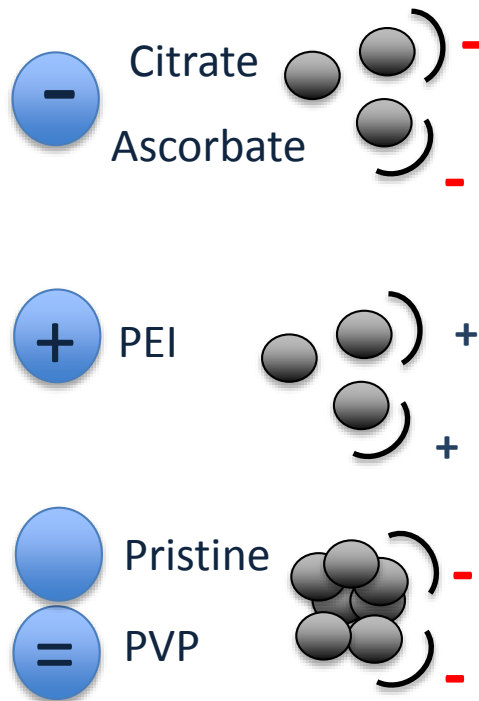
**pH :** 6.5

**Size :** 1093 nm



The specific interaction of  $\text{PO}_4^{3-}$  ions with CuO surface invert positive sign of CuO water dispersion, a destabilization occurred, despite to the high value of absolute Z potential

## Effect of surface modifiers (H<sub>2</sub>O medium)



Sample	pH	d <sub>DLS</sub> (nm)	ζ-pot <sub>ELS</sub> (mV)
CuO_101	6.4	1093	-9
CuO_102_CIT	6.5	368	-18
CuO_103_PVP	6.5	797	-8
CuO_104_PEI	6.5	247	+28
CuO_105_ASC	6.5	122	-17

Samples coated by **ionic agents** (CIT, ASC, PEI) resulted better dispersed showing values coherent with the charge given by the capping agent.

**Neutral PVP** did not improve significantly the dispersion of CuO NPs and as expected, did not modify zeta potential of pristine sample.

## Dilution in saline media

**Dulbecco buffered saline** (D8662) contains all the salts of the complete media excepting proteins and antibiotics

Sample	H <sub>2</sub> O			D8662		
	pH	d <sub>DLS</sub> (nm)	ζ-pot <sub>ELS</sub> (mV)	pH	d <sub>DLS</sub> (nm)	ζ-pot <sub>ELS</sub> (mV)
CuO_101	6.5	1093± 50	-9.1 ± 0.4	7.5	2756± 347	-20,7± 1.4
CuO_102_CIT	6.5	368± 10	-18.0± 0.3	7.4	271± 43	-35.8± 2.9
CuO_103_PVP	6.5	797± 84	-8.1± 2.3	7.4	2765± 432	-21.1± 1.5
CuO_104_PEI	6.5	247± 14	+28.3± 0.7	7.4	209± 16	+25.4± 1.9
CuO_105_ASC	6.4	122± 1.4	-17.4± 0.3	7.4	1314± 525	-24.5± 2.8



In Buffered saline medium, the increase of ionic strength induced a **COLLOIDAL DESTABILIZATION** as confirmed by the **increased agglomeration degree** of non modified, PVP and even ASC modified sample, despite to the **increase of negative zeta potential**.

## Dilution in saline media

**AFW and AMW** containing  $Mg^{2+}$  and  $Ca^{2+}$ , more than 10 times concentrated in AMW than AFW

Sample	H <sub>2</sub> O			AFW, pH 8.1		AMW, pH 8.1	
	pH	d <sub>DLS</sub> (nm)	ζ-pot <sub>ELS</sub> (mV)	d <sub>DLS</sub> (nm)	ζ-pot <sub>ELS</sub> (mV)	d <sub>DLS</sub> (nm)	ζ-pot <sub>ELS</sub> (mV)
CuO_101	6.5	1093	-9.1	1663± 210	-3.5± 0.4	1281± 393	+7.6± 0.4
CuO_102_CIT	6.5	368	-18.0	1050± 16	+3.6± 0.4	1062± 159	+4.5± 0.7
CuO_103_PVP	6.5	797	-8.1	1159± 256	+1.6± 0.3	1661± 580	+6.5± 1.5
CuO_104_PEI	6.5	247	+28.3	675± 199	+20.9± 0.9	1281± 168	+10.1± 1.1
CuO_105_ASC	6.4	122	-17.4	1293± 278	-8.1± 0.4	1234± 25	+2.7± 0.6



In artificial fresh and marine water, the increase of ionic strength induced a **COLLOIDAL DESTABILIZATION** as confirmed by the **increased agglomeration degree** particularly evident for samples that **reversed Z potential crossing the i.e.p.**, due to the presence of  $Mg^{2+}$  and  $Ca^{2+}$  cations specifically adsorbed on metal oxide colloidal phases.

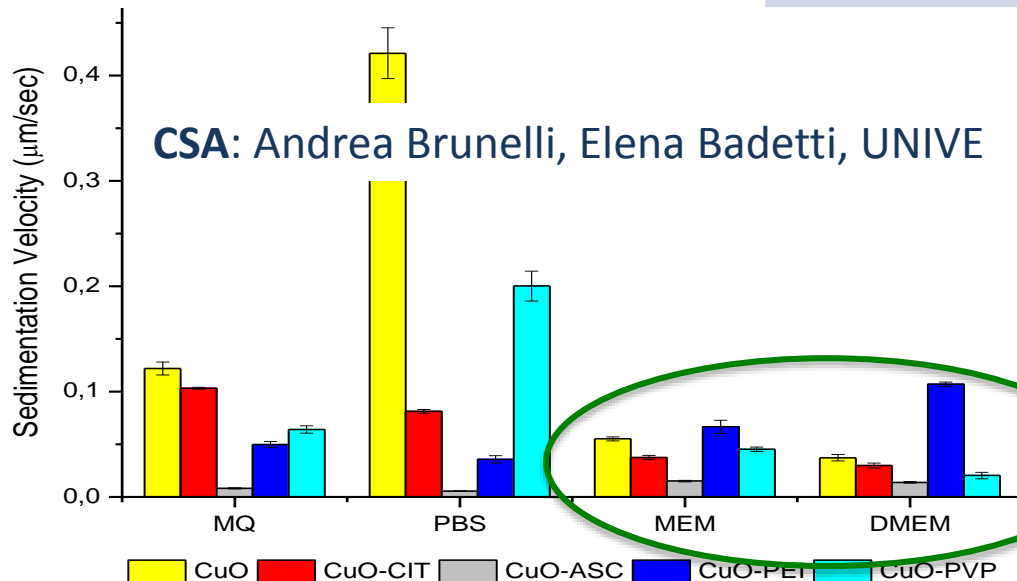
## Dilution in complete in vitro media: MEM / DMEM

### Protein coating on nanoparticles



DLS and  $\zeta_{ELS}$  data in MEM and DMEM levelled off on data of media alone, but this information does not reflect potentially transformation occurred at dispersion state during evolution from synthetic to biological identity and possible consequence on the bioavailability of nano fraction.

Sample	MEM			DMEM		
	pH	d <sub>DLS</sub> (nm)	$\zeta$ -pot <sub>ELS</sub> (mV)	pH	d <sub>DLS</sub> (nm)	$\zeta$ -pot <sub>ELS</sub> (mV)
CuO_101	8.2	47	-10	8	55	-8
CuO_102_CIT	8.2	89	-10	7.9	37	-10
CuO_103_PVP	8.2	44	-10	7.9	53	-9
CuO_104_PEI	8.2	46	-10	7.9	45	-10
CuO_105_ASC	8.2	52	-10	7.9	73	-9
<b>MEM</b>	<b>7.6</b>	<b>21</b>	<b>-10</b>	<b>7.9</b>	<b>-</b>	<b>-11</b>



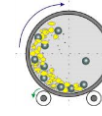
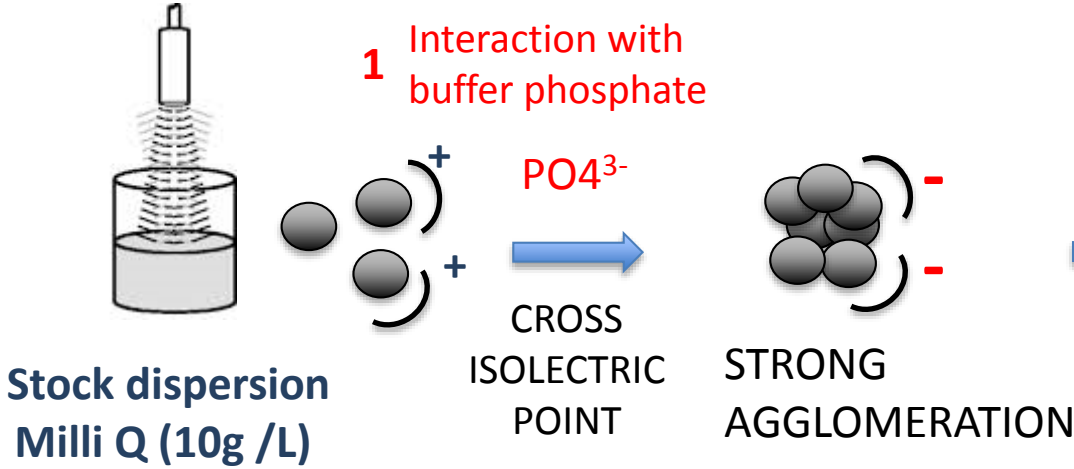
The **stabilisation** in in vitro media is confirmed by sedimentation velocity measured by Centrifugal Separation Analysis (CSA); only CuO PEI sample seems to decrease its colloidal stability

CuO NPs

## Buffer

## Modifiers

**1** Interaction with buffer phosphate



BM



Citrate  
Ascorbate



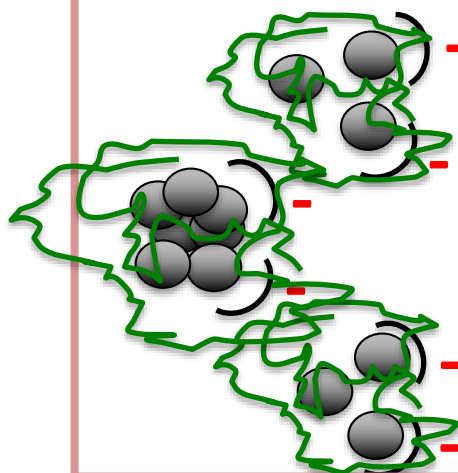
PEI



Pristine  
PVP

Dilution in tox media (100mg / L)

BIO AVAILABILITY ↗



=

Citrate  
Ascorbate

CROSS  
ISOLECTRIC  
POINT

PEI

=

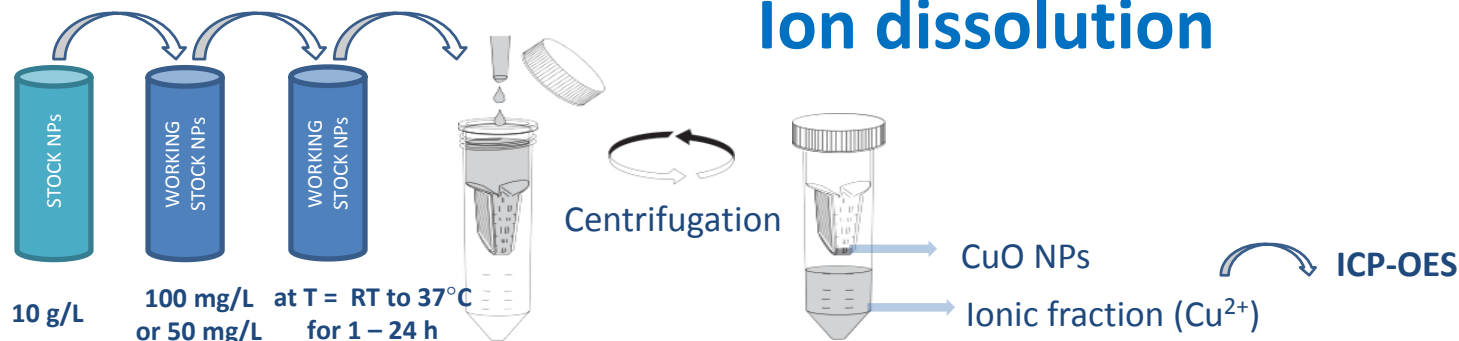
Pristine  
PVP

**2**

Interaction with  
slight negative  
PROTEINS



## Ion dissolution



## RESULTS

Sample	Cu <sup>2+</sup> /CuO (%) at 24h, 37°C			
	MilliQ	D8662	DMEM	MEM
CuO_101	0.18	<0.31	67.41	59.91
CuO_102_CIT	1.98	1.81	69.19	55.22
CuO_103_PVP	0.23	<0.33	66.93	34.00
CuO_104_PEI	2.84	2.55	66.01	43.06
CuO_105_ASC	1.99	<0.33	65.39	48.13

pH range: 6.5 - 8

In protein free media **the dissolution stays below few unit percent** with an high dissolution for ionic abilisised particle.

Chelating effect of ammino-acid determines an **abrupt increase of Cu<sup>2+</sup> ion content**, not pH justified

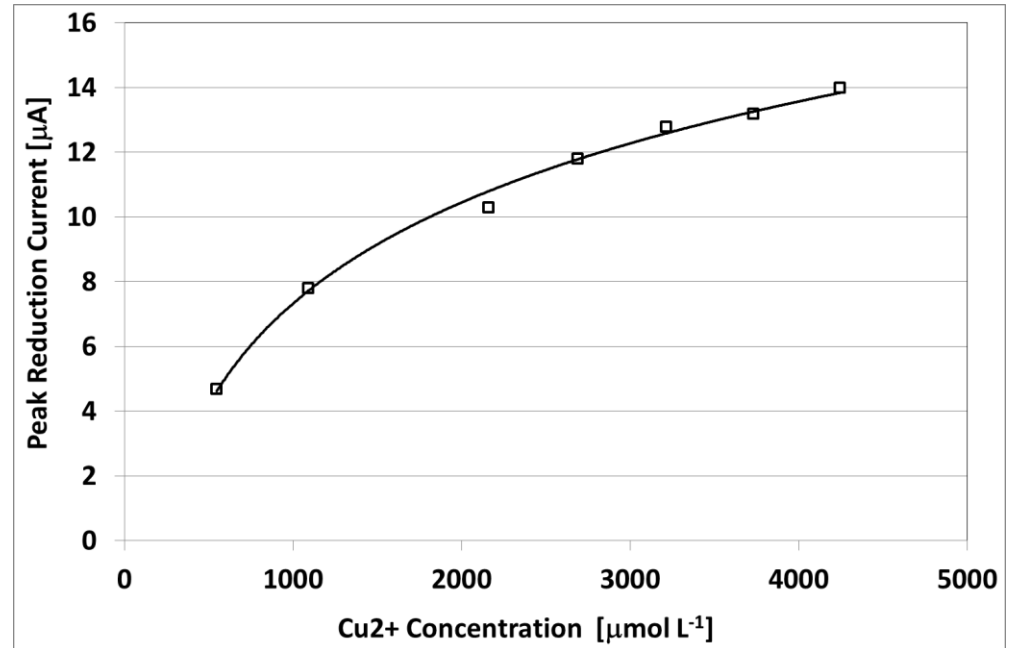
## Ion speciation (electroanalytical meas.)

### $\mu$ Autolab FRA2 Potentiostat



### TECHNIQUES

- **Cyclic Voltammetry (CV)**
- **Reduction Potential Steps**
- **AGNES (Using Hg-coated UMEs)**

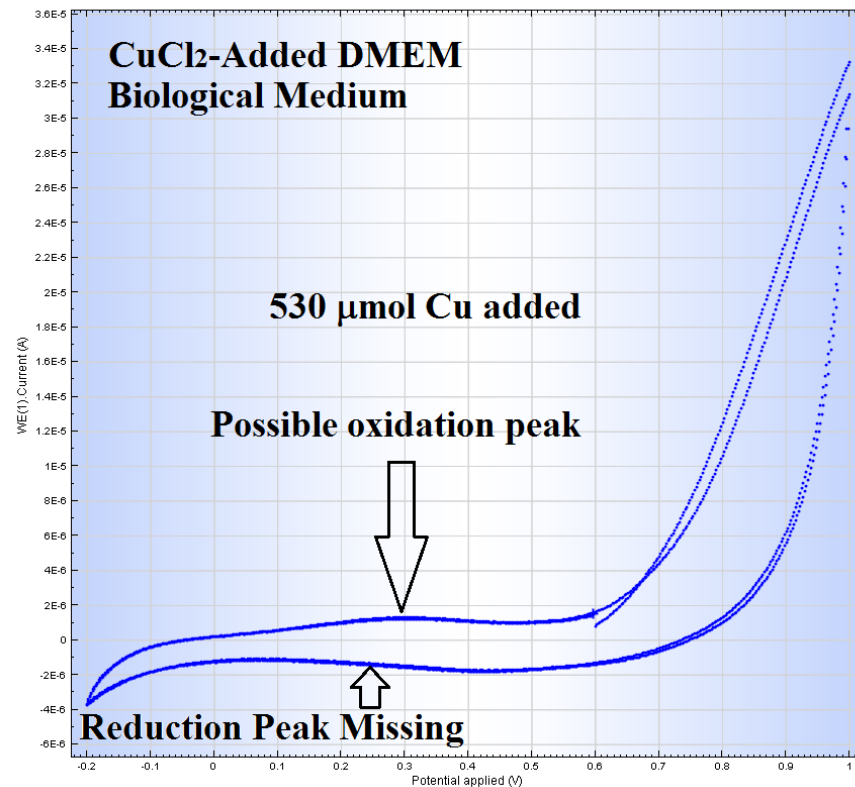
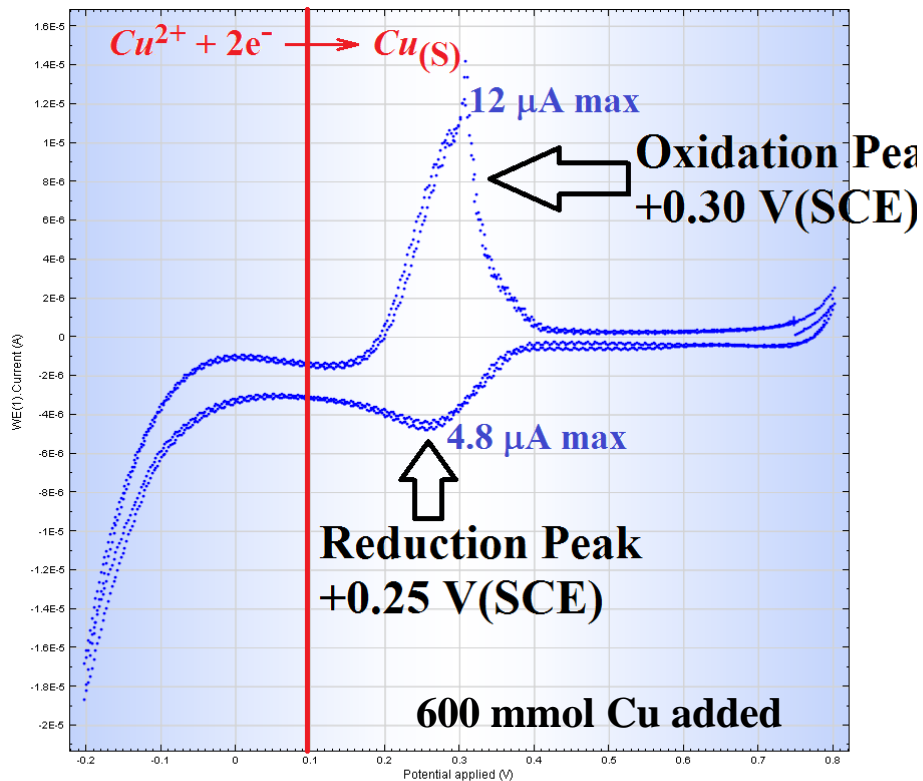


It was verified that it is possible to estimate the unknown concentration of Cu(II) ions in KCl on the 0.6 to 5 mmol L<sup>-1</sup> range (10<sup>-2</sup> ppm).

## Ion speciation (electroanalytical meas.)

**KCl** at the same resistivity as DMEM + CuCl<sub>2</sub>

**DMEM** + CuCl<sub>2</sub>



Measurement made on DMEM alone did not cause any obvious peak to appear

**INDIRECT EVIDENCE OF IONS SPECIATION**

In the presence of DMEM is necessary, up to about 5 mmol L<sup>-1</sup> of Cu<sup>2+</sup> ions to observe a weak oxidation peak. The very lower sensitivity in DMEM if compared with KCl can be explained by the chelating action of aminoacids vs Cu<sup>2+</sup> ions

- ❑ A source to effect framework was provided and established a platform for the definition and evaluation of SbyD strategies
  
- ❑ The results provided useful data to support the assessment of nano-bio interaction and make hypothesis on mechanism with the real possibility to act on molecular design and drive adverse biological effect.
  
- ❑ Further investigation and non testing approaches (computational modelling, read-across) needed to validate mechanistic hypothesis and develop preventive / predictive tools

## CNR-ISTEC

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Colloidal Processing Group

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**Thank you very much for your  
attention!**



Sustainable Nanotechnologies Project

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